Docket No.: MVMDINC.1CP1C4

July 29, 2005 Page 1 of 1

Please Direct All Correspondence to Customer Number 20995

TRANSMITTAL LETTER INFORMATION DISCLOSURE STATEMENT

Applicant

van der Burg, et al.

App. No

10/768,717

Filed

January 30, 2004

For

SYSTEM FOR LEFT ATRIAL

APPENDAGE OCCLUSION

Examiner

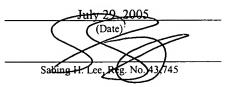
Glenn K. Dawson

Art Unit

3731

CERTIFICATE OF MAILING

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on



Mail Stop Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement and PTO/SB/08 equivalent listing references for consideration:
 - (X) Listing 135 references.
 - (X) Enclosing 33 references.
- (X) A check in the amount of \$180 to cover the above fee is enclosed.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

Sabing H. Lee

Registration No. 43,745

Attorney of Record

Customer No. 20,995

(949) 760-0404

1805420 070805



pocket No.; MVMDINC.1CP1C4

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Applicant

van der Burg, et al.

App. No

10/768,717

Filed

January 30, 2004

For

SYSTEM FOR **LEFT**

ATRIAL

Examiner

Glenn K. Dawson

Art Unit

3731

APPENDAGE OCCLUSION

Alexandria, VA 22313-1450, on

Sabing H. Lee, Reg. No. 43,745

CERTIFICATE OF MAILING

I hereby certify that this correspondence and all

marked attachments are being deposited with

the United States Postal Service as first-class mail in an envelope addressed to:

Commissioner for Patents, P.O. Box 1450,

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application is a PTO/SB/08 Equivalent listing 135 references to be considered by the Examiner. Also enclosed are 33 foreign patent references and/or non-patent literature as listed on the Supplemental Information Disclosure Statement.

This Supplemental Information Disclosure Statement is being filed before the mailing date of a final action and before the mailing of a Notice of Allowance. This Statement is accompanied by the fees set forth in 37 C.F.R. § 1.17(p). The Commissioner is hereby authorized to charge any additional fees which may be required or to credit any overpayment to Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated:

Sabing H. Lee

Registration No. 43,745

Attorney of Record

Customer No. 20,995

(949) 760-0404

08/02/2005 CHGUYEN2 00000011 10768717

01 FC:1806

180.00 OP

1834623 072705

OIPE Application No. 10/768,717 INFORMATION DISCLOSURE Filing Date 01/30/2004 First Named Inventor van der Burg, et al. AUG 0 1 200 STATEMENT BY APPLICANT Art Unit 3731 (Multiple sheets used when necessary) Examiner Glenn K. Dawson SHEET 1 OF 6 Attorney Docket No. MVMDINC.1CP1C4

| | | | U.S. PATENT | DOCUMENTS | |
|--|-------------|---|--------------------------------|-------------------------------|--|
| Examiner Initials | Cite No. | Document Number Number - Kind Code (if known) Example: 1,234,567 B1 | Publication Date MM-DD-YYYY | Name of Patentee or Applicant | Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear |
| | 1 | 178,283 | 6/6/1876 | French | |
| • | 2 | 1,967,318 | 7/24/1934 | Monahan | |
| | 3 | 3,557,794 | 1/26/1971 | Van Patten | |
| | 4 | 4,585,000 | 4/29/1986 . | Hershenson | |
| | 5 | 4,611,594 | 9/16/1986 | Grayhack, et al. | |
| | 6 | 4,832,055 | 5/23/1989 | Palestrant | |
| | 7 | 5,037,810 | 8/6/1991 | Saliba, Jr. | |
| | 8 | 5,041,093 | 8/20/1991 | Chu | |
| · · · · · · · · · · · · · · · · · · · | . 9 | 5,098,440 | 3/24/1992 | Hillstead | |
| | 10 | 5,211,658 | 5/18/1993 | Clouse | |
| | 11 | 5,256,146 | 10/26/1993 | Ensminger, et al. | |
| . , | 12 | 5,353,784 | 10/11/1994 | Nady-Mohamed | |
| | 13 | 5,370,657 | 12/6/1994 | Irie | |
| | 14 | 5,421,832 | 6/6/1995 | Lefebvre | |
| | 15 | 5,443,454 | 8/22/1995 | Tanabe, et al. | |
| ************************************* | 16 | 5,469,867 | 11/28/1995 | Schmitt | |
| | 17 | 5,522,822 | 6/4/1996 | Phelps, et al. | |
| | 18 | 5,591,196 | 1/7/1997 | Marin,et al. | <u> </u> |
| | 19 | 5,634,942 | 6/3/1997 | Chevillon, et al. | |
| | 20 | 5,637,097 | 6/10/1997 | Yoon | · |
| | 21 | 5,649,953 | 7/22/1997 | Lefebvre | |
| | 22 | 5,662,671 | 9/2/1997 | Barbut, et al. | |
| | 23 | 5,681,347 | 10/28/1997 | Cathcart, et al. | |
| | 24 | 5,690,671 | 11/25/1997 | McGurk, et al. | 1 |
| | 25 | 5,695,525 | 12/9/1997 | Mulhause, et al. | |
| | 26 | 5,704,910 | 1/6/1998 | Humes | |
| | 27 | 5,749,883 | 5/12/1998 | Halpern | |
| | 28 | 5,769,816 | 6/23/1998 | Barbut, et al. | |
| | 29 | 5,800,457 | 9/1/1998 | Gelbfish | |

| | | gnature |
|----------|---------|----------|
| I – vami | nar Si | anatura |
| | 1101 01 | unature. |

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

T¹ - Place a check mark in this area when an English language Translation is attached.

Application No. 10/768,717 INFORMATION DISCLOSURE Filing Date 01/30/2004 First Named Inventor van der Burg, et al. STATEMENT BY APPLICANT Art Unit 3731 (Multiple sheets used when necessary) Examiner Glenn K. Dawson SHEET 2 OF 6 Attorney Docket No. MVMDINC.1CP1C4

| U.S. PATENT DOCUMENTS | | | | | | | |
|-----------------------|-------------|---|--------------------------------|-------------------------------|--|--|--|
| Examiner Initials | Cite No. | Document Number Number - Kind Code (if known) Example: 1,234,567 B1 | Publication Date MM-DD-YYYY | Name of Patentee or Applicant | Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear | | |
| | 30 | 5,810,874 | 9/22/1998 | Lefebvre | | | |
| | 31 | 5,823,198 | 10/20/1998 | Jones, et al. | | | |
| | 32 | 5,836,913 | 11/17/1998 | Orth, et al. | | | |
| | 33 | 5,846,260 | 12/8/1998 | Maahs | | | |
| • | 34 | 5,846,261 | 12/8/1998 | Kotula, et al. | | | |
| | 35 | 5,851,232 | 12/22/1998 | Lois | | | |
| | 36 | 5,855,597 | 1/5/1999 | Jayaraman | | | |
| | 37 | 5,865,802 | 2/2/1999 | Yoon, et al. | | | |
| | 38 | 5,868,708 | 2/9/1999 | Hart, et al. | | | |
| ** * | 39 | 5,876,367 | 3/2/1999 | Kaganov, et al. | | | |
| | 40 | 5,882,340 | 3/16/1999 | Yoon | | | |
| | 41 | 5,885,258 | 3/23/1999 | Sachdeva, et al. | | | |
| | 42 | 5,895,399 | 4/20/1999 | Barbut, et al. | | | |
| | 43 | 5,904,703 | 5/18/1999 | Gilson | | | |
| 5.00.1 | 44 | 5,910,154 | 6/8/1999 | Tsugita, et al. | | | |
| | 45 | 5,911,734 | 6/15/1999 | Tsugita, et al. | | | |
| | 46 | 5,916,236 | 6/29/1999 | Mujis Van de Moer, et al. | | | |
| | 47 | 5,925,075 | 7/20/1999 [,] | Myers, et al. | | | |
| • | 48 | 5,928,192 | 7/27/1999 | Maahs | | | |
| | 49 | 5,928,260 | 7/27/1999 | Chin, et al. | • | | |
| | 50 | 5,935,147 | 8/10/1999 | Kensey, et al. | | | |
| | 51 | 5,941,249 | 8/24/1999 | Maynard | | | |
| | 52 | 5,941,896 | 8/24/1999 | Kerr | | | |
| | 53 | 5,944,738 | 8/31/1999 | Amplatz, et al. | 0 | | |
| | 54 | 5,947,997 | 9/7/1999 | Pavncik, et al. | | | |
| - | 55 | 5,951,589 | 9/14/1999 | Epstein, et al. | | | |
| _ | 56 | 5,954,694 | 9/21/1999 | Sunseri | | | |
| | 57 | 5,957,940 | 9/28/1999 | Tanner, et al. | | | |
| | 58 | 5,976,174 | 11/2/1999 | Ruiz | | | |

| Signature |
|-----------|
| |

Date Considered

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

T¹ - Place a check mark in this area when an English language Translation is attached.

| | Application No. | 10/768,717 |
|---------------------------------------|----------------------|----------------------|
| INFORMATION DISCLOSURE | Filing Date | 01/30/2004 |
| STATEMENT BY APPLICANT | First Named Inventor | van der Burg, et al. |
| . STATEMENT DI ALL'EICANT | Art Unit | 3731 |
| (Multiple sheets used when necessary) | Examiner | Glenn K. Dawson |
| SHEET 3 OF 6 | Attorney Docket No. | MVMDINC.1CP1C4 |

| | U.S. PATENT DOCUMENTS | | | | | | |
|----------------------|-----------------------|---|--------------------------------|-------------------------------|--|--|--|
| Examiner Initials | Cite No. | Document Number Number - Kind Code (if known) Example: 1,234,567 B1 | Publication Date MM-DD-YYYY | Name of Patentee or Applicant | Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear | | |
| _ | 59 | 5,980,555 | 11/9/1999 | Barbut, et al. | | | |
| | 60 | 5,989,281 | 11/23/1999 | Barbut, et al. | | | |
| | 61 | 5,993,469 | 11/30/1999 | McKenzie, et al. | | | |
| | 62 | 5,993,483 | 11/30/1999 | Gianotti | | | |
| | 63 | 5,961,545 | 10/5/1999 | Lentz, et al. | | | |
| | 64 | 5,997,557 | 12/7/1999 | Barbut, et al. | | | |
| | 65 | 6,007,523 | 12/28/1999 | Mangosong | | | |
| | 66 | 6,010,517 | 1/4/2000 | Baccaro | · · · · · · · · · · · · · · · · · · · | | |
| | 67 | 6,010,522 | 1/4/2000 | Barbut, et al. | | | |
| | 68 | 6,013,093 | 1/11/2000 | Nott, et al. | | | |
| | 69 | 6,024,754 | 2/15/2000 | Engelson | | | |
| | 70 | 6,024,755 | 2/15/2000 | Addis | | | |
| | 71 | 6,027,520 | 2/22/2000 | Tsugita, et al. | | | |
| | 72 | 6,033,420 | 3/7/2000 | Hahnen | | | |
| · | 73 | 6,042,598 | 3/28/2000 | Tsugita, et al. | | | |
| | 74 | 6,048,331 | 4/11/2000 | Tsugita, et al. | | | |
| | 75 | 6,051,014 | 4/18/2000 | Jang | | | |
| | 76 | 6,051,015 | 4/18/2000 | Maahs | | | |
| | 77 | 6,056,720 | 5/2/2000 | Morse | | | |
| | 78 | 6,068,621 | 5/30/2000 | Balcetta, et al. | | | |
| ٠ | 79 | 6,074,357 | 6/13/2000 | Kaganov, et al. | | | |
| • | 80 | 6,080,183 | 6/27/2000 | Tsugita, et al. | | | |
| | 81 | 6,083,239 | 7/4/2000 | Addis | , | | |
| | 82 | 6,136,016 | 10/24/2000 | Barbut, et al. | | | |
| | 83 | 6,139,527 | 10/31/2000 | Laufer, et al. | | | |
| | 84 | 6,161,543 | 12/19/2000 | Cox, et al. | | | |
| | 85 | 6,179,859 | 1/30/2001 | Bates, et al. | | | |
| | 86 | 6,235,045 | 5/22/2001 | Barbut, et al. | | | |
| | 87 | 6,258,115 | 7/10/2001 | Dubrul · | | | |

Examiner Signature

Date Considered

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

T¹ - Place a check mark in this area when an English language Translation is attached.

Application No. 10/768,717 INFORMATION DISCLOSURE Filing Date 01/30/2004 First Named Inventor van der Burg, et al. STATEMENT BY APPLICANT Art Unit 3731 (Multiple sheets used when necessary) Examiner Glenn K. Dawson SHEET 4 OF 6 Attorney Docket No. MVMDINC.1CP1C4

| | | | U.S. PATENT | DOCUMENTS | |
|----------------------|-------------|---|--------------------------------|-------------------------------|--|
| Examiner İnitials | Cite No. | Document Number Number - Kind Code (if known) Example: 1,234,567 B1 | Publication Date MM-DD-YYYY | Name of Patentee or Applicant | Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear |
| | 88 | 6,270,530 | 8/7/2001 | Eldridge, et al. | |
| | 89 | 6,270,902 | 8/7/2001 | Tedeschi, et al. | |
| • | 90 | 6,277,138 | 8/21/2001 | Levinson, et al. | |
| | 91 | 6,290,708 | 9/18/2001 | Kugel, et al. | |
| • | 92 | 6,312,407 | 11/6/2001 | Zadno-Azizi, et al. | |
| | 93 | 6,346,116 | 2/12/2005 | Brooks, et al. | |
| | 94 | 6,346,895 | 2/12/2002 | Lee, et al. | |
| | 95 | 6,398,803 | 6/4/2002 | Layne, et al. | |
| | 96 | 6,447,530 | 9/10/2002 | Ostrovsky, et al. | |
| | 97 | US 2001/0020181 A1 | 9/6/2001 | Layne | |
| | 98 | US 2001/0034537 A1 | 10/25/2001 | Shaw, et al. | |
| | 99 | US 2002/0062133 A1 | 5/23/2002 | Gilson, et al. | |
| | 100 | US 2002/0082675 A1 | 6/27/2002 | Myers | |
| | 101 | US 2002/0138094 A1 | 9/26/2002 | Borillo, et al. | |
| | 102 | US 2002/0138097 A1 | 9/26/2002 | Ostrovsky, et al. | |

| | FOREIGN PATENT DOCUMENTS | | | | | | | | |
|----------------------|--------------------------|--|-----------------------------------|----------------------------------|--|---------------------------------------|--|--|--|
| Examiner Initials | Cite No. | Foreign Patent Document Country Code-Number-Kind Code Example: JP 1234567 A1 | Publication Date MM-DD-YYYY | Name of Patentee or Applicant | Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear | Τ1 | | | |
| | 103 | WO 93/13712 | 7/22/1993 | Das | | | | | |
| • | 104 | WO 95/04132 | 2/9/1995 | Cazzola, et al. | | | | | |
| | 105 | WO 96/01591 | 1/25/1996 | Mazzocchi, et al. | | | | | |
| • | 106 | WO 96/40356 | 12/19/1996 | Whayne, et al. | · | | | | |
| | 107 | WO 97/21402 | 6/19/1997 | van der Burg, et al. | | | | | |
| | 108 | WO 97/28749 | 8/14/1997 | Bolduc, et al. | | | | | |
| | 109 | WO 98/02100 | 1/22/1998 | Anson, et al. | | | | | |
| | 110 | WO 98/17187 | 4/30/1998 | Cox, et al. | | | | | |
| | 111 | WO 98/23322 | 6/4/1998 | Ostrovsky, et al. | | · · · · · · · · · · · · · · · · · · · | | | |
| | 112 | WO 98/27868 | 7/2/1998 | Shaw, et al. | | | | | |

| Examiner Signature | Exa | miner | Sian | ature |
|--------------------|-----|-------|------|-------|
|--------------------|-----|-------|------|-------|

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

T¹ - Place a check mark in this area when an English language Translation is attached.

| n · | Application No. | 10/768,717 |
|---------------------------------------|----------------------|----------------------|
| INFORMATION DISCLOSURE | Filing Date | 01/30/2004 |
| STATEMENT BY APPLICANT | First Named Inventor | van der Burg, et al. |
| OTATEMENT BY ALL EIGHN | Art Unit | 3731 |
| (Multiple sheets used when necessary) | Examiner | Glenn K. Dawson |
| SHEET 5 OF 6 | Attorney Docket No. | MVMDINC.1CP1C4 |

| | | | FOREIGN PATE | ENT DOCUMENTS | | |
|----------------------|-------------|--|-----------------------------|----------------------------------|--|----|
| Examiner Initials | Cite No. | Foreign Patent Document Country Code-Number-Kind Code Example: JP 1234567 A1 | Publication Date MM-DD-YYYY | Name of Patentee or Applicant | Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear | T¹ |
| | 113 | WO 99/07289 | 2/18/1999 | Schneidt | | |
| | 114 | WO 99/08607 | 2/25/1999 | Ken, et al. | | |
| | 115 | WO 99/23976 | 5/20/1999 | Gilson, et al. | | |
| | 116 | WO 99/30640 | 6/24/1999 | Abrams, et al. | | |
| | 117 | WO 99/44510 | 10/9/1999 | Sisskind, et al. | | |
| | 118 | WO 00/16705 | 3/30/2000 | Yadav, et al. | * | |
| | 119 | WO 00/27292 | 5/18/2000 | van der Burg, et al. | | |
| - | 120 | WO 00/53120 | 9/14/2000 | Kusleika, et al. | | |
| | 121 | WO 00/67669 | 11/16/2000 | Gilson, et al. | | |
| | 122 | WO 01/15629 A1 | 3/8/2001 | Kusleika, et al. | | •• |
| | 123 | WO 01/21247 | 3/29/2001 | Frazier, et al. | | |
| | 124 | WO 01/26726 | 4/19/2001 | Greenhalgh | | |
| | 125 | WO 01/30266 A1 | 5/3/2001 | Van Tassel, et al. | | |
| | 126 | WO 01/30267 A1 | 5/3/2001 | Van Tassel, et al. | | |
| | 127 | WO 01/30268 A1 | 5/3/2001 | Van Tassel, et al. | | |

| | | NON PATENT LITERATURE DOCUMENTS | |
|----------------------|-------------|---|--------|
| Examiner Initials | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T¹ |
| | 128 | CRAGG, et al., "Nonsurgical Placement of Arterial Endoprosthesis: A New Technique Using Nitinol Wire," Radiology Vol. 147, No. 1, Pages 261-263, April 1983 | 350.50 |
| | 129 | CRAGG, et al., "A New Percutaneous Vena Cava Filter," ALJ, 141:601-604, September 1983. | |
| | 130 | SUGITA, et al., "Nonsurgical Implantation of a Vascular Ring Prosthesis Using Thermal Shape Memory Ti/Ni Alloy (Nitinol Wire)," <u>Trans. Am. Soc. Artif. Intern. Organs</u> , Vol. XXXII, 30-34, 1986. | |
| | 131 | RUTTENBERG, "Nonsurgical Therapy of Cardiac Disorders," Pediatric Consult, Vol. 5, No. 2, pages not numbered, 1986. | |
| | 132 | RASHKIND, et al., "Nonsurgical Closure of Patent Ductus Arteriosus: Clincal Application of the Rashkind PDA Occluder System," <u>Circulation</u> , Vol. 75, No. 3, 583-592, 1987 | |
| | 133 | LOCK, et al., "Transcatheter Umbrella Closure of Congenital Heart Defects," Circulation, Vol. 75, No. 3, 593-599, 1987. | |
| | 134 | WESSEL, et al., "Outpatient Closure of the Patent Ductus Arteriosus," Circulation, Vol. 77, No. 5, 1068-1071, 1988. | |

| Examiner | Signature |
|----------|-----------|
| | 0.90.0.0 |

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

T¹ - Place a check mark in this area when an English language Translation is attached.

PTO/SB/08 Equivalent

| | Application No. | 10/768,717 |
|---------------------------------------|----------------------|----------------------|
| INFORMATION DISCLOSURE | Filing Date | 01/30/2004 |
| STATEMENT BY APPLICANT | First Named Inventor | van der Burg, et al. |
| | Art Unit | 3731 |
| (Multiple sheets used when necessary) | Examiner | Glenn K. Dawson |
| SHEET 6 OF 6 | Attorney Docket No. | MVMDINC.1CP1C4 |

| - !} | NON PATENT LITERATURE DOCUMENTS | | |
|----------------------|---------------------------------|---|----------------|
| Examiner Initials | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ¹ |
| | 135 | LOCK, et al., "Transcatheter Closure of Atrial Septal Defects," Circulation, Vol. 79, No. 5, 1091-1099, May 1989. | |

1804068 070705

Examiner Signature

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.